

# Finding Near-Min Cuts

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## Purpose of this talk

- Describe an algorithm to enumerate all  $s$ - $t$  cuts in a directed network whose capacity is within  $1+\varepsilon$  of being optimal for  $\varepsilon \geq 0$ . (“near-min cuts”)
- Prove that the run time is polynomial per cut enumerated when  $\varepsilon = 0$ .
- Prove that it is polynomial for certain graph topologies .



# A Network Interdiction Problem

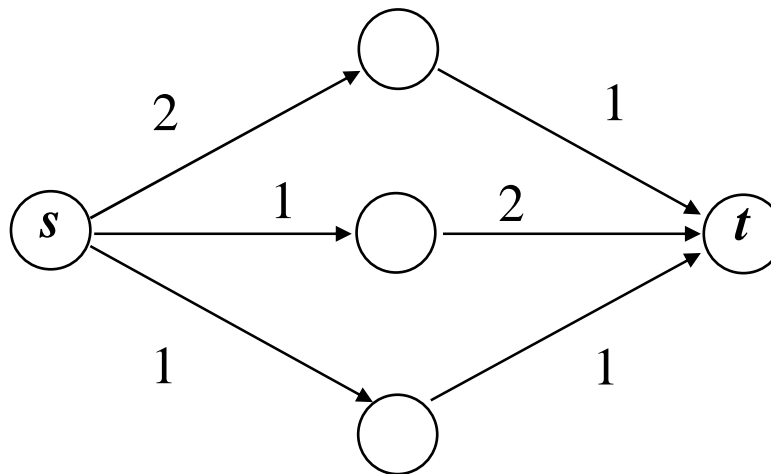
- Given network  $G=(N,A)$  and resource  $r_k$  required to “destroy”  $k=(i,j)$ , find the minimum total resource required to cut all comm between nodes  $s$  and  $t$ .
- Simple solution via the max-flow min-cut theorem:

Set resources as arc capacities, find max flow and min capacity cut.



# Max-flow, min-cut

(With multiple solutions)





## One min cut easy to find, but...

- There may be secondary considerations, e.g., collateral damage, logical constraints
- So, find all min cuts and evaluate against other relevant criteria
- How to enumerate?
  - Brute force: Enumerate all cuts
  - Some theoretical work in literature
  - Practical: Norm Curet, Applied Math, NSA

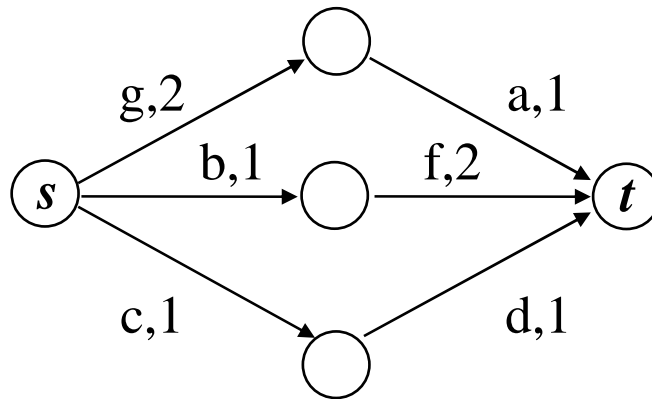


## The next refinement

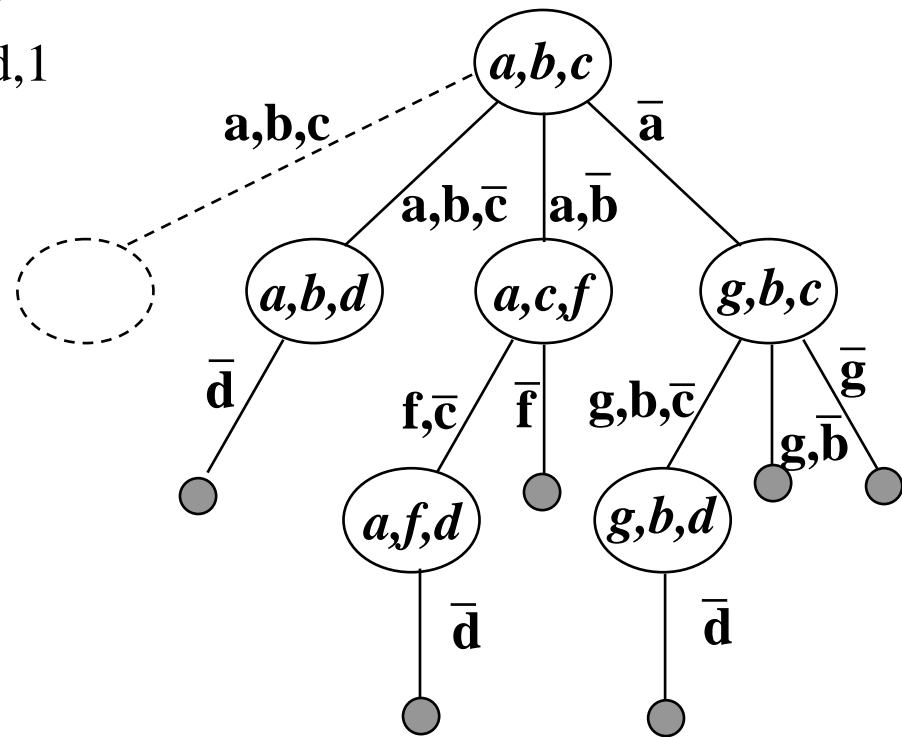
- Allow near-optimal solutions, i.e., accept near-min cuts.
- Can still enumerate all cuts!
- Some graph theoretical work.  
Ramanathan and Colbourn (1987),  
Vazirani and Yannakakis (1997)  
enumerate *cutsets*
- Two Masters theses at NPS.



# Our partitioning scheme



Backtrack if +1 tolerance exceeded



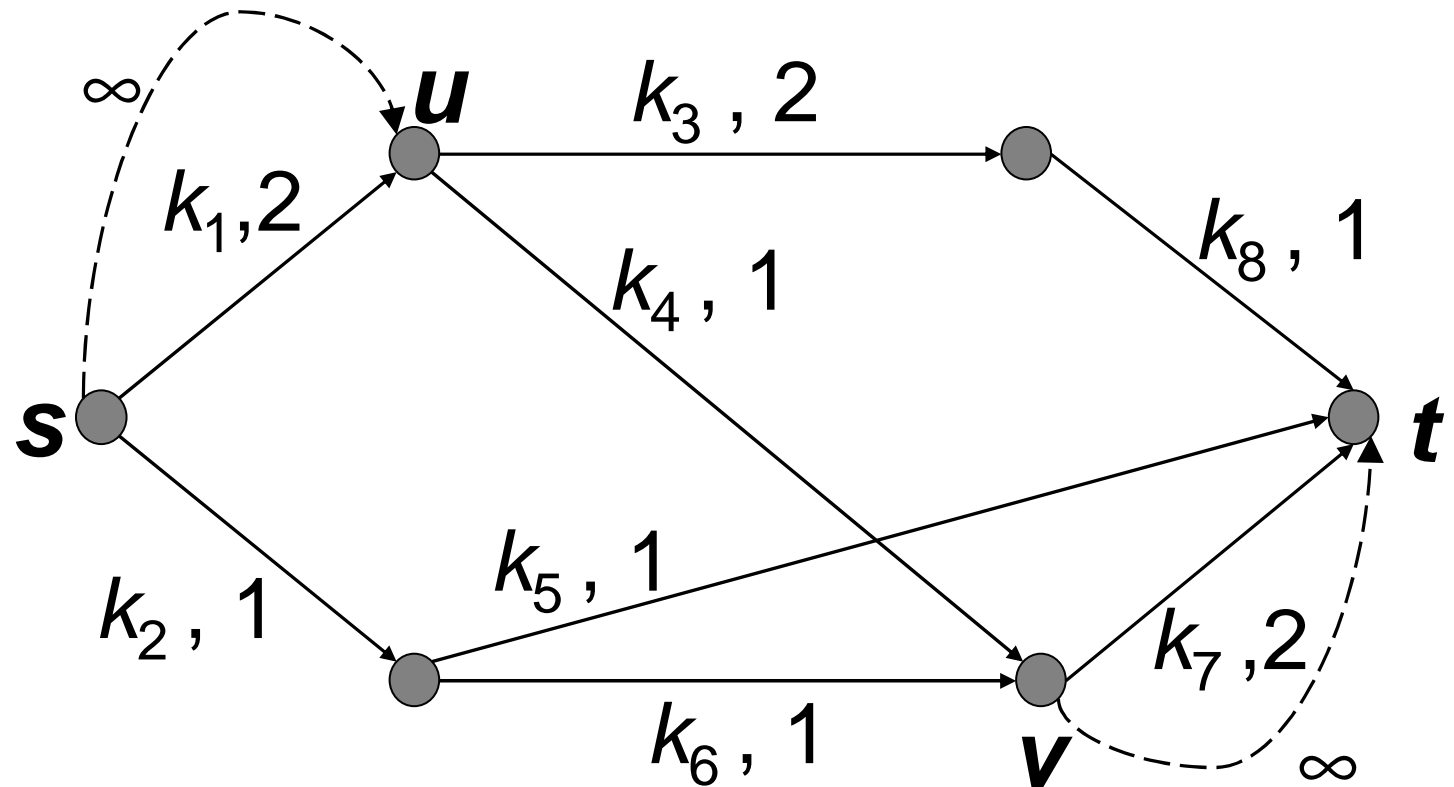


## Forcing arcs in and out of cuts

- To ensure that a given arc  $k$  is excluded from all cuts below a given tree node, set  $u_k = \infty$
- To ensure inclusion of  $k = (i,j)$ , add  $(s,i)$  and  $(j,t)$  with capacities of  $\infty$  (treat  $i$  as an extra source and  $j$  as an extra sink)
- Exclusion always works; inclusion can introduce new “pseudo-minimal” cuts
- Just keep track of  $A_{\text{IN}}$  and  $A_{\text{OUT}}$



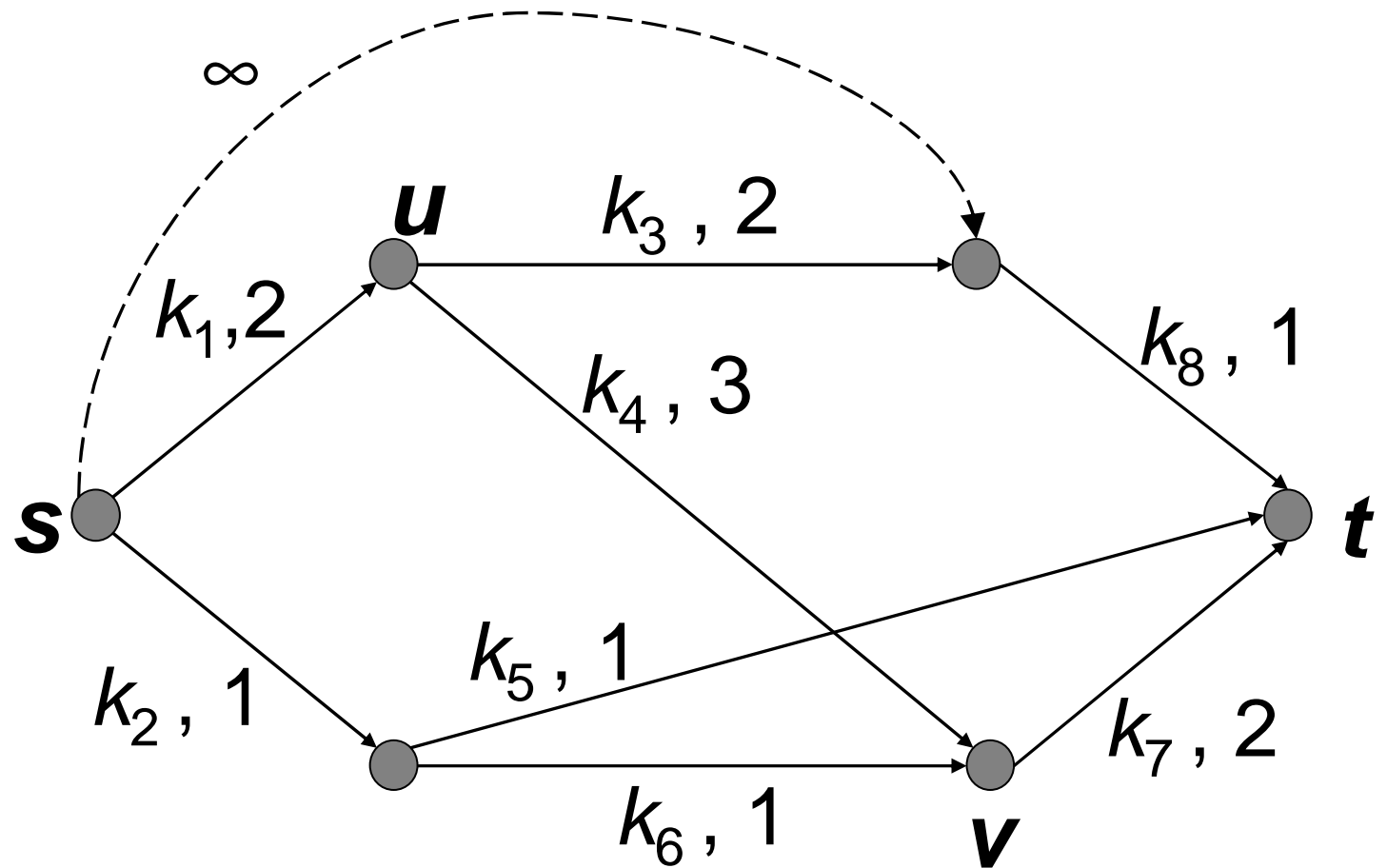
## Force inclusion of arc $k_4 = (u, v)$



**Actually, just treat  $u$  as a new source and  $v$  as a new sink.**



## Creating pseudo-minimal cuts ☹️



$\{k_1, k_2, k_8\}$  is a pseudo-minimal cut



# An Algorithm

**MAIN**

**Input:**  $G=(N,A)$ ,  $\underline{u}$ ,  $\varepsilon$ ,  $s$ ,  $t$  /\* *Global* \*/

**Output:** All cuts with  $\text{cap.} \leq (1 + \varepsilon) z_{\min}$

{

$(z_{\min}, A_C) \leftarrow \text{Maxflow}(G, \{s\}, \{t\}, \underline{u});$

/\*  $z_{\min}$  is also global \*/

$A_{\text{IN}} \leftarrow \emptyset; A_{\text{OUT}} \leftarrow \emptyset;$

$\text{Enumerate}(A_{\text{IN}}, A_{\text{OUT}});$

}

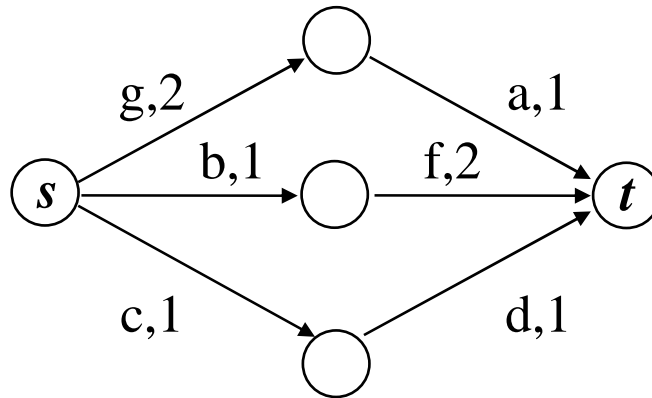


## Algorithm: recursive routine

```
Enumerate( $A_{IN}, A_{OUT}$ )
{
   $\underline{u}' \leftarrow \underline{u}; u_k \leftarrow \infty \forall k \in A_{OUT};$ 
   $S \leftarrow \{s\} + \{i | (i,j) \in A_{IN}\}; T \leftarrow \{t\} + \{j | (i,j) \in A_{IN}\};$ 
   $(z', A_C) \leftarrow \text{Maxflow}(G, S, T, \underline{u}')$ ;
  If  $(z' > (1 + \varepsilon) \times z_{\min})$  return;
  If  $(A_C \text{ is minimal})$  Print  $(z', A_C)$ ;
  For (each  $k \in A_C - A_{IN}$ ) {
     $A_{OUT} \leftarrow A_{OUT} + \{k\};$ 
    Enumerate( $A_{IN}, A_{OUT}$ );
     $A_{OUT} \leftarrow A_{OUT} - \{k\}; A_{IN} \leftarrow A_{IN} + \{k\};$ 
  }
  return;
}
```

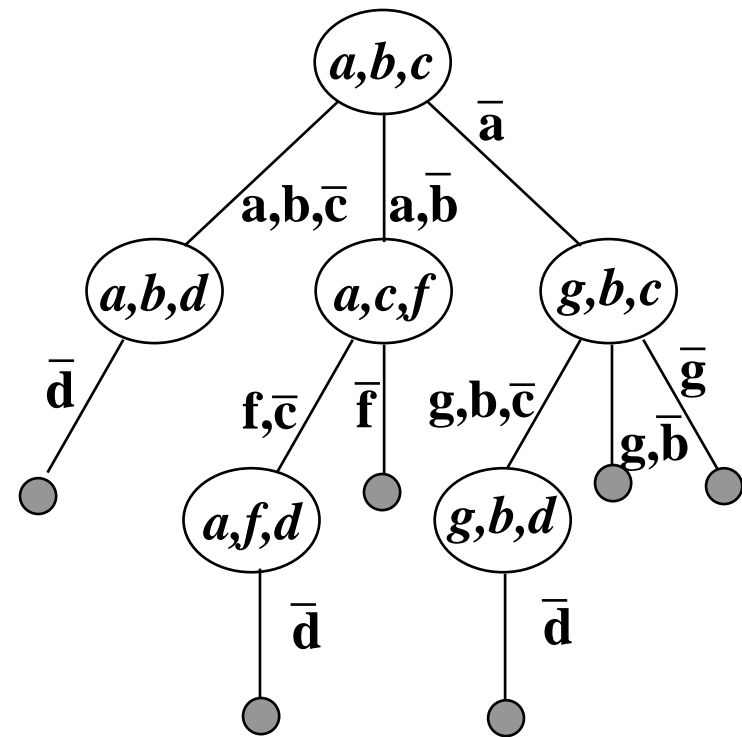


# An Example



Backtrack if +1 tolerance exceeded

Details on a chalkboard!





## Algorithm: Notes

- Actual implementation more efficient
- Max-flows not solved from scratch; use a flow-augmenting path algorithm
- Pre-emptive backtracking from within Maxflow allowed
- Work per iteration  $O(|A|)$  for finding min cuts; “usually”  $O(|A|)$  anyway?
- Testing for non-minimal cuts  $O(|A|)$



## Algorithm: Thm 1 (it works!)

- **Theorem 1: The algorithm enumerates all near-min cuts.**

**Proof: Simple partitioning and enumeration argument. *QED***

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**Let  $C$  denote the set of near-min cuts and let  $MF$  denote time for max flow**

**Note: The enumeration tree has only  
“productive tree nodes” (near-min cuts) or  
“unproductive tree nodes”**



## Algorithm: Thm 2 (efficiency)

- **Theorem 2:** Run time is  $O(|A||M|C|+MF)$  for finding min cuts  $A_C$  (with pre-emptive backtracking).

**Proof:** Initial cut found in  $O(MF)$  time. A new min cut is generated & proven min in two  $O(|A|)$  flow augmentations, or pre-emptive backtracking occurs. There are at most  $|M|$  dead tree nodes for each productive node and  $|Productive\ nodes|=|C|$ . *QED*



## Algorithm: Thm 3 (efficiency)

- **Theorem 3: ( $\varepsilon > 0$ )** Run time is  $O(|A||M|C+MF)$  for finding near-min cuts  $A_C$  when  $z_{\min}\varepsilon < u_{\min}$ .

**Proof:** Same as previous proof, essentially, because any cut with capacity  $z_{\min}(1+\varepsilon)$  must be minimal:

The smallest capacity a non-min'l cut can have is  $z_{\min}+u_{\min} > z_{\min}(1+\varepsilon)$ , so any non-min'l cut causes a backtrack. *QED*



## Algorithm: Thm 4 (efficiency)

- **Theorem 3:** Run time is  $O(|M||C| MF)$  for finding near-min cuts if all arcs of the form  $(s,v)$  and  $(v,t)$  exist.

**Proof:** Quasi-inclusion does not change the connectivity of  $G$  under these conditions. Every cut found is minimal. Each productive node in the enumeration tree has at most  $|N|-1$  nonproductive children. *QED*

- **Corollary 1:** Run time is  $O(|M||C| MF)$  for finding near-min cuts in complete graphs.



## **Algorithm: General Efficiency**

- **My guess: Difficult**
- **Problematic examples exist. Non-minimal cuts can be produced when forcing arcs in**
- **Finding a minimal cut that includes certain arcs and excludes others is an NP-complete problem**
- **There is room for improved efficiency by identifying “non-forceinable arcs”!**



# Enhancements and results

- Don't solve max flows from scratch
- Results: 733 MHz Pentium III in Java
- Only results for grid networks here, with  $c_k = 1$
- All 249 min cuts in a 25 by 250 grid ( $|N|=6,252$ ,  $|A|=24,500$ ) in 18 seconds
- All 431,728 near-min cuts ( $\varepsilon = 0.15$ ) in a 25 by 25 grid in 973 seconds (253 non-minimal cuts encountered)



## Further research

- Find more classes of graphs that admit efficient solutions
- Add tests for “edge domination” to eliminate certain edges from possible quasi-inclusion
- Using the basic algorithm in a “network diversion problem”: Find a min-weight, minimal cut that contains a given edge